

# Exceptional field theory and gauged supergravity

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- 2 11-d SUGRA, U-dualities and gauged SUGRAs
- 3 Exceptional field theory
- 4 IIB theory
- 5 A geometry: flux formulation
- 6  $SO(p, q)$  gaugings
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Talk based on: Berman, Blair, EM, Perry arXiv:1306.6727, Blair, EM, Park arXiv:1311.5109, Blair, EM arXiv:1412.0635 and EM, Samtleben to appear.

- EFT / DFT rich subject with long history. Complete references impossible here.
- Built on pioneering work of Tseytlin '90, Siegel '90, Duff '91. Revived by Zwiebach, Hull, Hohm '09, '10 ...
- Uses tools of generalised geometry of Hitchin, Gualtieri. Developed further by Hull, Minasian, Waldram and many others.
- Reviews of DFT / EFT: Hohm, Lüst, Zwiebach (1309.2977), Aldazabal et al (1305.1907), Berman & Thompson (1306.2643).
- Much literature on gauged SUGRA from DFT / EFT: Aldazabal, Andriot, Baron, Berman, Betz, Blumenhagen, Blair, Dall'Agata, Deser, Dibitetto, EM, Fuchs, Geissbuhler, Godazgar<sup>2</sup>, Graña, Hassler, Hohm, Kounnas, Larfors, Lüst, Marques, Massai, Musaev, Nicolai, Nunez, Park, Patalong, Plauschinn, Perry, Rennecke, Samtleben, Sun etc.

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# 11-d SUGRA and U-dualities

- 11-D SUGRA is LEEA of M-theory.
- M-theory has U-duality symmetry: combination of T- and S-duality of string theory.
- We see U-duality in 11-d theory when dimensionally reducing.  $n$  commuting killing vectors  $\Rightarrow E_n$  symmetry.
- Scalar potential invariant under global  $E_n$  and local  $H_n$  symmetry.
- Scalars parameterise the coset space  $E_n/H_n$ .

$n$	$E_n$	$H_n$
3	$SL(2) \times SL(3)$	$SO(2) \times SO(3)$
4	$SL(5)$	$SO(5)$
5	$SO(5, 5)$	$SO(5) \times SO(5)$
6	$E_6$	$USp(8)$
7	$E_7$	$SU(8)$
8	$E_8$	$SO(16)$

# Twisted compactification

- Toroidal compactification: flat scalar potential  $\Rightarrow$  phenomenologically unimportant. Role of U-duality clear.
- Twisted (Scherk-Schwarz) compactifications: better!
- There is dependence on internal coordinates.
- Dependence is contained through a “twist” matrix: element of global symmetry group (here  $E_n$ ).
- Twist can be a U-duality transformation  $\Rightarrow$  U-fold (“non-geometry”).
- Non-trivial scalar potential  $\Rightarrow$  moduli stabilisation? cosmological constant?
- Leads to gauged SUGRA. A subgroup of  $E_n$  becomes gauged.
- Effective theory still controlled by  $E_n$ .

- Gauged SUGRA classified using embedding tensor  $\Theta_M$ .
- $\Theta_M$  defines which subgroup of  $E_n$  is gauged.
- Linear & quadratic constraints on  $\Theta_M \iff$  consistent gauged maximal SUGRA.
- Linear & quadratic constraints are group-theoretic – controlled by  $E_n$ .
- Gauged SUGRA important for phenomenology and holography, e.g.
  - ▶ Maximal SUSY-preserving deformations of ungauged SUGRA.
  - ▶ Fluctuations around  $AdS_5 \times S^5$  are governed by gauged SUGRA.
- Focus on maximal gauged SUGRA: a lot of results from just group theory.

# Higher dimensional origin of maximal gauged SUGRA

Higher-dimensional origin not always clear!

- Twisted compactification  $\Rightarrow \Theta_M$
- $\Theta_M \Rightarrow$  twisted compactification????

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General study of gauged SUGRA hard, even for  $d = 7$ :

- Quadratic constraint difficult to solve in general.
- Consistency of compactification, even on  $S^4$ ,  $S^5$ ,  $S^7$ , difficult to prove: deWit, Nicolai '87, Nastase, Vaman, Nieuwenhuizen '00, Cvetič, Lu, Pope '00, deWit, Nicolai '13, ...

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EFT can help!

- U-duality  $E_n$  plays a prominent role.
- Non-geometric compactifications appear naturally.
- $\Theta_M$  is the torsion of EFT.

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- U-duality  $E_n$  plays a prominent role.
- Non-geometric compactifications appear naturally.
- $\Theta_M$  is the torsion of EFT.

Approach: Use twisted reductions of EFT to obtain new *automatically* consistent compactifications.

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# Exceptional field theory

- Consider the bosonic  $d = 7$  case ( $n = 4$ ) for simplicity.
- No reduction yet, just a  $7 + 4$  split.

$$ds^2 = e^\phi ds_7^2 + ds_4^2. \quad (1)$$

- Focus on scalar potential. Full theory (for other groups): [H&S 3×'13, '14](#). Fermions: [Godazgar<sup>2</sup>, Hohm, Nicolai, Samtleben '14](#).
- U-duality mixes bosonic fields:  $g_{\alpha\beta}$ ,  $C_{\alpha\beta\gamma} = \epsilon_{\alpha\beta\gamma\rho} V^\rho$ ,  $\phi$ ,  $\alpha, \beta = 1, \dots, 4$ .
- $\Rightarrow$  combine in  $SL(5)$  tensor, generalised metric: ([Hull '07, Berman, Godazgar, Perry '11](#))

$$m_{ab} = e^{-\phi/2} \begin{pmatrix} \frac{g_{\alpha\beta}}{\sqrt{|g|}} & V_\alpha \\ V_\beta & \sqrt{g} (1 + V^2) \end{pmatrix}, \quad a, b = 1, \dots, 5. \quad (2)$$

- U-duality mixes 4 momenta and 6 wrapping modes of M2:  $p_\alpha, w^{[\alpha\beta]}$ .
- $\Rightarrow$  introduce winding coords.  $y_{\alpha\beta}$  & combine

$$X^{ab} = \begin{cases} X^{\alpha 5} = x^\alpha, & \text{spacetime coords} \\ X^{\alpha\beta} = \frac{1}{2} \eta^{\alpha\beta\gamma\rho} y_{\gamma\rho}, & \eta^{\alpha\beta\gamma\rho} = \sqrt{|g|} \epsilon^{\alpha\beta\gamma\rho} = \pm 1. \end{cases} \quad (3)$$

# Generalised diffeomorphisms

- Local symmetries are diffeomorphisms ( $\xi^\alpha$ ) and gauge transformations ( $\lambda_{\alpha\beta}$ ):

$$\begin{aligned}\delta g_{\alpha\beta} &= \mathcal{L}_\xi g_{\alpha\beta} = \xi^\gamma \partial_\gamma g_{\alpha\beta} + g_{\alpha\gamma} \partial_\beta \xi^\gamma + g_{\gamma\beta} \partial_\alpha \xi^\gamma, \\ \delta C_{\alpha\beta\gamma} &= \mathcal{L}_\xi C_{\alpha\beta\gamma} - 3\partial_{[\alpha} \lambda_{\beta\gamma]} = \xi^\rho \partial_\rho C_{\alpha\beta\gamma} + 3C_{\rho[\beta\gamma} \partial_{\alpha]} \xi^\rho - 3\partial_{[\alpha} \lambda_{\beta\gamma]}, \quad (4) \\ \delta\phi &= \mathcal{L}_\xi \phi = \xi^\gamma \partial_\gamma \phi.\end{aligned}$$

- The diffeo and gauge transformation generators combine in a generalised vector in  $\mathbf{10}$ ,  $U^{ab}$ :

$$\begin{aligned}U^{\alpha 5} &= \xi^\alpha, \\ U^{\alpha\beta} &= \frac{1}{2} \eta^{\alpha\beta\gamma\delta} \lambda_{\gamma\delta}.\end{aligned}\quad (5)$$

- The combined action is given by generalised Lie derivative ([Berman, Godazgar, Perry '11](#)):

$$L_U V^a = \frac{1}{2} U^{bc} \partial_{bc} V^a + \frac{1}{4} V^a \partial_{bc} U^{bc} - V^b \partial_{bc} U^{ac}, \quad (6)$$

when we take  $\partial^{\alpha\beta} = \frac{\partial}{\partial y_{\alpha\beta}} = 0$ .

- Consistency of the theory  $\Rightarrow$  algebra of generalised diffeomorphisms must close.
- But we find:

$$[L_U, L_V] W^A = L_{[U, V]_c} W^A + \text{junk}. \quad (7)$$

- **Junk** vanishes when we impose the “section condition”:

$$\partial_{[ab} f \partial_{cd]} g = 0. \quad (8)$$

for all fields  $f, g$  in the theory. (Berman, Godazgar, Perry '11)

- In general EFT is not consistent but can be made consistent by imposing section condition: it is a constrained theory.
- Section condition is  $SL(5)$  covariant requirement that winding derivatives vanish:  $\partial^{\alpha\beta} = 0$ , i.e. dependence only on 4 coordinates.

# The section and the action

Requiring an action that is invariant under generalised diffeomorphisms gives the unique answer (Berman, Perry '10)

$$\begin{aligned} S = \int_{\Sigma} |m|^{-1} & \left( \frac{1}{8} m^{ab} m^{a'b'} \partial_{aa'} m^{cd} \partial_{bb'} m_{cd} - \frac{1}{2} m^{ab} m^{a'b'} \partial_{aa'} m^{cd} \partial_{cb'} m_{bd} \right. \\ & - \frac{1}{2} \partial_{aa'} m^{ab} \partial_{bb'} m^{a'b'} - \frac{3}{8} m^{ab} m^{a'b'} \partial_{aa'} \ln |m| \partial_{bb'} \ln |m| \\ & \left. + 2m^{a'b'} \partial_{aa'} m^{ab} \partial_{bb'} \ln |m| - m^{a'b'} \partial_{aa'} \partial_{bb'} m^{ab} + m^{ab} m^{a'b'} \partial_{aa'} \partial_{bb'} \ln |m| \right) \end{aligned} \quad (9)$$

- Restricting to the section  $\partial^{\alpha\beta} = 0$ ,  $\partial_{\alpha} \neq 0$  the action reduces to scalar potential of 11-d supergravity

$$S = \int d^4x e^{7\phi/2} \sqrt{|g|} \left( R - \frac{1}{48} F_{\alpha\beta\gamma\delta} F^{\alpha\beta\gamma\delta} + \frac{21}{2} \partial^{\alpha} \phi \partial_{\alpha} \phi \right). \quad (10)$$

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The section condition

$$\partial_{[ab} f \partial_{cd]} g = 0, \quad (11)$$

has a different inequivalent solution: Fields only depend on three coordinates (Blair, EM, Park '13)

$$x_\mu = \frac{1}{2} \epsilon_{\mu\nu\rho} X^{\nu\rho}, \quad \mu, \nu = 1, 2, 3. \quad (12)$$

Decomposing the  $SL(5) \rightarrow SL(3) \times SL(2)$ ,  $m_{ab}$  in **15** of  $SL(5)$  decomposes to  $(\mathbf{6}, \mathbf{1}) \oplus (\mathbf{3}, \mathbf{2}) \oplus (\mathbf{1}, \mathbf{2}) \oplus (\mathbf{1}, \mathbf{1})$ .

These correspond to

- $3 \times 3$  symmetric  $\tilde{g}^{\mu\nu}$  spacetime metric,  $|\tilde{g}| = |\det \tilde{g}^{\mu\nu}|$ ,
- 2 vectors  $\tilde{v}^i_\mu$  (dual to KR and RR 2-forms),  $i = 4, 5$  is  $SL(2)$  index,
- 3 scalars:  $\tilde{\phi}$ , symmetric  $2 \times 2$  unit-det  $\tilde{\mathcal{M}}_{ij}$  (dilaton and RR 0-form)

$$\tilde{\mathcal{M}}_{ij} = \frac{1}{\text{Im}\tau} \begin{pmatrix} |\tau|^2 & \text{Re}\tau \\ \text{Re}\tau & 1 \end{pmatrix}, \quad \tau = C^{(0)} + ie^{-\tilde{\phi}}. \quad (13)$$

- Natural parameterisation of  $m_{ab}$  is

$$m_{ab} = \begin{pmatrix} \sqrt{|\tilde{g}|} \left( \tilde{g}_{\mu\nu} + e^{\tilde{\phi}} \tilde{v}^k{}_{\mu} \tilde{v}_{k\nu} \right) & e^{\tilde{\phi}} \tilde{v}_{j\mu} \\ e^{\tilde{\phi}} \tilde{v}_{i\nu} & \frac{1}{\sqrt{|\tilde{g}|}} e^{\tilde{\phi}} \tilde{\mathcal{M}}_{ij} \end{pmatrix}. \quad (14)$$

- Generalised vector in **10**:  $U^{ab} = \tilde{\xi}_{\mu} \oplus \lambda^{i\mu} \oplus \xi^{ij}$ .
- Generalised Lie derivative gives gauge transformations:

$$\begin{aligned} \delta \tilde{\phi} &= \mathcal{L}_{\tilde{\xi}} \tilde{\phi}, & \delta \tilde{\mathcal{M}}_{ij} &= \mathcal{L}_{\tilde{\xi}} \tilde{\mathcal{M}}_{ij}, \\ \delta \tilde{C}^{i\mu\nu} &= \mathcal{L}_{\tilde{\xi}} \tilde{C}^{i\mu\nu} + 2\tilde{\partial}^{[\mu} \lambda^{|\nu|]}, & \delta \tilde{g}^{\mu\nu} &= \mathcal{L}_{\tilde{\xi}} \tilde{g}^{\mu\nu}. \end{aligned} \quad (15)$$

We defined  $\mathcal{L}_{\tilde{\xi}} V^{\mu} \equiv \tilde{\xi}_{\nu} \tilde{\partial}^{\nu} V^{\mu} + V^{\nu} \tilde{\partial}^{\mu} \tilde{\xi}_{\nu}$ ,

- $\xi^{ij}$  drops out of Lie derivative (no gauge transformation of  $C^{(0)}$ ).

Using the IIB parameterisation and section condition, the EFT action reduces to

$$S = \int d^3\tilde{x} \sqrt{|\tilde{g}|} e^{-2\tilde{\phi}} \left( \tilde{R} + \frac{1}{4} \tilde{\partial}^\mu \tilde{\mathcal{M}}^{ij} \tilde{\partial}_\mu \tilde{\mathcal{M}}_{ij} - \frac{1}{12} e^{\tilde{\phi}} \tilde{H}^{i\mu\nu\rho} \tilde{H}_{i\mu\nu\rho} + \frac{9}{2} \tilde{\partial}^\mu \tilde{\phi} \tilde{\partial}_\mu \tilde{\phi} \right).$$

- $\mu, \nu$  indices raised/lowered by  $\tilde{g}^{\mu\nu}$ ,
- $i, j$  indices are raised/lowered by  $\tilde{\mathcal{M}}_{ij} \Rightarrow$  manifestly  $SL(2)$  invariant,
- $SL(2)$  doublet of field strengths  $\tilde{H}^{i\mu\nu\rho} = 3\tilde{\partial}^{[\mu} \tilde{C}^{|\nu\rho]}$ ,
- Ricci scalar  $\tilde{R}$  for  $\tilde{g}^{\mu\nu}$  with “reversed indices”.
- This is 7-d scalar potential of IIB theory with indices reversed.

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The action for EFT is a great success:

- it is  $SL(5)$  invariant,
- it reduces to 7-d scalar potential of 11-d when using  $\partial^{\alpha\beta} = 0$ ,
- it reduces to 7-d scalar potential of IIB supergravity action when using  $\partial_{\mu i} = \partial_{ij} = 0$ ,
- it is a generalised scalar up to section condition.
- However, invariance under generalised diffeos is not apparent from its form:

$$S = \int (\partial m)^2 . \quad (16)$$

Ideally, we want a connection for EFT to satisfy

- covariant derivative maps generalised tensors to generalised tensors,
- compatible with  $m_{ab}$ ,
- compatible with the  $SL(5)$  invariant  $\epsilon_{abcde}$ ,
- completely determined in terms of physical fields,
- torsion-free,
- gives a curvature tensor that may be contracted with generalised metric to give the scalar appearing in action.

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- covariant derivative maps generalised tensors to generalised tensors, ✓
- compatible with  $m_{ab}$ , ✓
- compatible with the  $SL(5)$  invariant  $\epsilon_{abcde}$ , ✓
- completely determined in terms of physical fields, ✗
- torsion-free, ✓
- gives a curvature tensor that may be contracted with generalised metric to give the scalar appearing in action. ??✓??

Aldazabal et al '13, Cederwall et al '13, Hohm et al '13, Park et al '14

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- completely determined in terms of physical fields, ✓
- torsion-free, ✗
- gives a curvature tensor that may be contracted with generalised metric to give the scalar appearing in action. ✗

Blair, EM '14

- Because gen. diffeos are not just diffeos of the enlarged space, we cannot just use a Levi-Civita type object.
- We use a flat (“Weitzenbck”) connection.

$$\nabla_{ab} V^c = \partial_{ab} V^c + \Gamma_{ab,d}^c V^d, \quad \Gamma_{ab,d}^c = E_{\bar{a}}^c \partial_{ab} E^{\bar{a}}_d. \quad (17)$$

- $E^{\bar{a}}_a$  are generalised vielbeine:  $E^{\bar{a}}_a E_{\bar{a}b} = m_{ab}$ . ( $\bar{a}, \bar{b}, \dots$  are flat  $SL(5)$  indices.)
- The spin connection vanishes:

$$\nabla_{ab} V^{\bar{a}} = \partial_{ab} V^{\bar{a}}. \quad (18)$$

- In EFT we cannot define a Riemann-curvature-like object ([Cederwall et al '13](#)).

- Use torsion instead:

$$\left( L_U^\nabla - L_U^\partial \right) V^a = \frac{1}{2} \tau_{bc,d}{}^a U^{bc} V^d. \quad (19)$$

- The torsion is given by

$$\tau_{bc,d}{}^a = 3\Gamma_{[bc,d]}^a - \Gamma_{e[b,c]}^e \delta_d^a - 2\Gamma_{ed,[b}^e \delta_c^a]. \quad (20)$$

- Connection and torsion are not invariant under local SO(5).

$$\begin{aligned} \Gamma_{ab,d}^c &= E_{\bar{a}}{}^c \partial_{ab} E_{\bar{d}}^{\bar{a}}. \\ \delta_\lambda \Gamma_{ab,d}^c &= E_{\bar{a}}{}^c E_{\bar{b}d} \partial_{ab} \lambda^{\bar{a}\bar{b}}. \end{aligned} \quad (21)$$

- $\Rightarrow$  Find the action by requiring invariance under SO(5).

- Find the action in terms of torsion by requiring  $SO(5)$  invariance.
- First, decompose torsion into its irreps

$$\tau_{bc,d}{}^a = \frac{1}{2}\epsilon_{bcdef}Z^{ef,a} + \frac{1}{2}\delta_{[b}^a S_{c]d} + \frac{1}{3}\delta_d^a \tau_{bc,e}{}^e + \frac{2}{3}\delta_{[b}^a \tau_{c]d,e}{}^e \quad (22)$$

- **40**:  $Z^{ab,c} = Z^{[ab],c}$  with  $Z^{[ab,c]} = 0$ ,
- **15**:  $S_{ab} = S_{(ab)}$ ,
- **10**:  $\tau_{ab,e}{}^e$ .
- Write all terms that involve these irreps squared ( $m^{ab}S_{ab}$ )<sup>2</sup>, etc.
- Compute  $SO(5)$  variation of these terms and choose combination so action is invariant.

# The action

- We find the unique SO(5) invariant combination

$$\begin{aligned} S = \int_{\Sigma} dX & \frac{1}{16} m^{ab} m^{cd} S_{ac} S_{bd} - \frac{1}{32} (m^{ab} S_{ab})^2 + \frac{5}{3} m^{ab} m^{cd} \tau_{ac,e}{}^e \tau_{bd,f}{}^f \\ & + \frac{1}{4} m_{ab} m_{cd} m_{ef} Z^{ce,a} Z^{df,b} - \frac{3}{8} m_{ab} m_{cd} m_{ef} Z^{ce,[a} Z^{df],b} \\ & - 2m^{ab} m^{cd} \nabla_{ac} \tau_{bd,e}{}^e, \end{aligned} \tag{23}$$

where  $\Sigma$  is a section satisfying section condition.

- This is manifestly generalised diffeo invariant.
- This is SO(5) invariant up to section condition.
- Reduces to EFT action when section condition is imposed.
- Extra term is crucial to obtain action for gauged SUGRA.

# The connection to gauged SUGRA

What about gauged SUGRA? (Berman, Musaev, Thompson '12, Hohm, Samtleben '14)

- Standard Kaluza-Klein (flat reduction): no dependence on internal EFT coordinates  $X^{ab}$ .
- Twisted (Scherk-Schwarz) compactifications: dependence on  $X^{ab}$  is carried by  $SL(5)$  group element  $E_a^{\bar{a}}$ .
- 7-d fields are twisted, e.g.  $g_7(X, y) = |E|^{-1}(X) \hat{g}_7(y)$ .
- The generalised metric  $m_{ab} = E_a^{\bar{a}} E_{b\bar{a}}$  describes the compactification space: metric, 3-form and warp factor.
- The (flattened) torsion reduces to the structure constants of the gauged SUGRA

$$[E_{\bar{a}\bar{b}}, E_{\bar{c}}] = f_{\bar{a}\bar{b}, \bar{c}}^{\bar{d}} E_{\bar{d}} = \tau_{\bar{a}\bar{b}, \bar{c}}^{\bar{d}} E_{\bar{d}}. \quad (24)$$

- For the flat connection we find

$$L_U V^a = E_{\bar{a}}^a \left( L_U V^{\bar{a}} - \frac{1}{2} \tau_{\bar{b}\bar{c},\bar{d}}^{\bar{a}} U^{\bar{b}\bar{c}} V^{\bar{d}} \right), \quad (25)$$

where  $L_U V^{\bar{a}}$  is the generalised Lie derivative acting on flat indices.

- Thus, we find the local symmetries of the gauged SUGRA given by

$$\hat{L}_U V^{\bar{a}} = L_U V^{\bar{a}} - \frac{1}{2} \tau_{\bar{b}\bar{c},\bar{d}}^{\bar{a}} U^{\bar{b}\bar{c}} V^{\bar{d}}. \quad (26)$$

- This defines the embedding tensor  $\Theta = \tau$ !
- The action reduces to the action for 7-d maximal gauged SUGRA.
- Embedding tensor is subject to “linear constraint”: it must only contain irreps  $\mathbf{15} \oplus \overline{\mathbf{40}}$  of  $\text{SL}(5)$ .
- This is (almost) satisfied automatically by the torsion.
- We need to impose  $\mathbf{10}$  vanishes (trombone gauging)?

## Section condition revisited

- Closure of algebra of Lie derivatives led to section condition.
- Let us revisit this in the Scherk-Schwarz setup. We find:

$$[\tau_{\bar{a}\bar{b}}, \tau_{\bar{c}\bar{d}}] = \tau_{\bar{c}\bar{d}, [\bar{a}^{\bar{e}} \tau_{\bar{b}}]_{\bar{e}}} - \tau_{\bar{a}\bar{b}, [\bar{c}^{\bar{e}} \tau_{\bar{d}}]_{\bar{e}}}, \quad (27)$$

here we denote by  $\tau_{\bar{a}\bar{b}}$  the matrix with element  $(\tau_{\bar{a}\bar{b}})_{\bar{c}}^{\bar{d}} = \tau_{\bar{a}\bar{b}, \bar{c}}^{\bar{d}}$ .

- This is exactly the quadratic constraint of gauged SUGRA.
- Section condition solves this, i.e. section condition  $\Rightarrow$  quadratic constraint.
- This has solutions which violate the section condition. What do these mean? Beyond SUGRA? EFT still consistent. (Waldram et al '15)
- It can be shown that the constraint (27) and having constant torsion is enough for a consistent compactification, i.e. solutions of the gauged SUGRA are solutions of the full 11-d or 10-d theory. (Berman, Musaev, Thompson '12, Hohm, Samtleben '14)

## A quick break: summary so far

- We have shown that  $SL(5)$  EFT contain 11-d as well as IIB theory.
- We have described a geometry for  $SL(5)$  EFT. This was based on a flat connection.
- We found torsion gives higher-dimensional origin to embedding tensor of gauged SUGRAs.
- Linear constraint automatically satisfied, quadratic constraint satisfied by section condition. (Possible relaxation of section condition?)
- EFT twists that satisfy quadratic constraint (section condition) and have constant flattened torsion automatically lead to consistent compactifications.
- Next: Sphere and hyperboloid compactifications as EFT twists.

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# SO( $p, q$ ) gaugings

- Sphere compactifications can be elegantly described by an EFT twist, e.g.  $S^4$  and  $S^3$  compactifications of 11-d and 10-d IIA SUGRA (Hohm, Samtleben '14 (Godazgar<sup>2</sup>, Nicolai '13, Baron, Dall'Agata '14 for 4-d).
- The consistency of these compactifications follows straightforwardly using EFT.
- The internal space can be read off from the generalised metric  $m_{ab} = E^{\bar{c}}_a E_{\bar{c}b}$ , where  $E$  is the twist matrix used.
- The complicated non-linear Ansätze for the internal metric and form-fields follows from a simple EFT twist.
- The compactifications can be generalised to *hyperboloids* to obtain non-compact SO( $p, q$ ) gaugings (Hohm, Samtleben '14, Baron, Dall'Agata '14).

# SO( $p, q$ ) gaugings of IIA

- Hohm, Samtleben '14 discuss  $H^{p,q}$  compactifications of IIA (11-d) SUGRA to 7-d maximal gauged SUGRA using EFT.
- $H^{p,q}$  is a constant curvature space with  $p$  positive and  $q$  negative directions, i.e.  $p = 4, q = 0$  is a  $S^4$ ,  $p = 0, q = 4$  is  $H^4$ , etc.
- These compactifications turn on the **15** of the embedding tensor  $S_{(\bar{a}\bar{b})} = \eta_{\bar{a}\bar{b}}$ , where  $\eta_{\bar{a}\bar{b}}$  is the SO( $p, q$ ) invariant.
- For the compactification of IIA, decompose the SL(5) to SL(4)  $\sim$  SO(3, 3) (the 3-d T-duality group).
- $S_{\bar{a}\bar{b}}$  then decomposes to **10**  $\oplus$  **4**  $\oplus$  **1** of SL(4).
- The IIA  $H^{p,3-p}$  compactifications give SO( $p, 4 - p$ ) gaugings in the **10**.
- This is described by a SO( $p, 4 - p$ ) tensor  $S_{\bar{\alpha}\bar{\beta}} = \eta_{\bar{\alpha}\bar{\beta}}$  where  $\bar{\alpha}, \bar{\beta} = 1, \dots, 4$ .

- Recall that the embedding tensor has another irrep, the  $\overline{\mathbf{40}}$ .
- Decomposing  $\overline{\mathbf{40}}$  under  $SL(4)$  we have  $\overline{\mathbf{20}} \oplus \overline{\mathbf{10}} \oplus \mathbf{6} \oplus \overline{\mathbf{4}}$ .
- The  $\overline{\mathbf{10}}$  gauging can again be described by a symmetric tensor  $\eta^{\overline{\alpha}\overline{\beta}}$  which defines a  $SO(p, q)$  gauging.
- It is expected that this comes from a  $H^{p,3-p}$  reduction of the IIB theory.
- Is there something like a “duality” that turns a IIA gauging of  $\mathbf{10}$  into a IIB gauging of  $\overline{\mathbf{10}}$ ?
- This would have to exchange the internal coordinates corresponding to IIA and IIB i.e. act like a  $O(3, 3)$  transformation of negative determinant.
- This cannot be a  $SL(5)$  transformation since this reduces to  $SL(4) \sim SO(3, 3)$ .

# IIA and IIB gaugings

- Recall that the **15** ( $S_{\bar{a}\bar{b}}$ ) and **40** ( $Z^{\bar{a}\bar{b},\bar{c}}$ ) are defined in terms of the Weitzenböck connection of the twist  $E$ .
- Study compactifications of 10-d SUGRA (both IIA and IIB)  
 $\Rightarrow \partial_{\alpha 4} = 0$ , but no section condition (yet).
- Using the Ansatz

$$E_{\bar{a}}{}^b = \rho^{-1/2} \begin{pmatrix} \omega^{1/2} V_{\bar{\alpha}}{}^{\beta} & 0 \\ 0 & \omega^{-2} \end{pmatrix}, \quad (28)$$

we find that we must have  $\rho = \omega$  and the only non-zero gaugings to be the **10**  $\subset$  **15**, **10** & **6**  $\subset$  **40** and **6**  $\subset$  **10**, given by:

$$\begin{aligned} S_{\bar{\alpha}\bar{\beta}} &= 4V_{(\bar{\alpha}}{}^{\alpha}\partial_{|\alpha\beta|}V_{\bar{\beta})}{}^{\beta}, & Z^{\bar{4}(\bar{\alpha},\bar{\beta})} &= V_{\alpha}{}^{(\bar{\alpha}}\partial^{|\alpha\beta|}V_{\beta}{}^{\bar{\beta})}, \\ 6Z^{\bar{4}[\bar{\alpha},\bar{\beta}]} &= \left(\partial^{\alpha\beta}V_{\alpha\beta}{}^{\bar{\alpha}\bar{\beta}} - 5V_{\alpha\beta}{}^{\bar{\alpha}\bar{\beta}}\partial^{\alpha\beta}\ln\omega\right), \\ 2\tau_{\bar{\alpha}\bar{\beta},\bar{c}}{}^{\bar{c}} &= \left(-\partial_{\alpha\beta}V_{\bar{\alpha}\bar{\beta}}{}^{\alpha\beta} + 5V_{\bar{\alpha}\bar{\beta}}{}^{\alpha\beta}\partial_{\alpha\beta}\ln\omega\right), \end{aligned} \quad (29)$$

where we define  $\partial^{\alpha\beta} \equiv \frac{1}{2}\eta^{\alpha\beta\gamma\delta}\partial_{\gamma\delta}$ .

$$S_{\bar{\alpha}\bar{\beta}} = 4V_{(\bar{\alpha}}{}^{\alpha}\partial_{|\alpha\beta|}V_{\bar{\beta})}{}^{\beta}, \quad Z^{\bar{4}(\bar{\alpha},\bar{\beta})} = V_{\alpha}{}^{(\bar{\alpha}}\partial^{|\alpha\beta|}V_{\beta}{}^{\bar{\beta})}, \quad (30)$$

- It is clear that we can turn a gauging of the  $\mathbf{10} \subset \mathbf{15}$  into a  $\overline{\mathbf{10}} \subset \overline{\mathbf{40}}$  by the outer automorphism of  $SL(4)$

$$V \longleftrightarrow V^{-T}, \quad \partial_{\alpha\beta} \longleftrightarrow \partial^{\alpha\beta}. \quad (31)$$

- Recall that  $SL(4) \sim SO(3,3)$ , the 3-d T-duality group. The outer automorphism corresponds to the  $O(3,3)$  transformation not in  $SO(3,3)$ , i.e. swaps IIA and IIB.
- Indeed if we have a twist that only depends on the coordinates  $X^{\mu 5}$ ,  $\mu = 1, 2, 3$  (i.e. coming from IIA), this gets turned into a twist that only depends on  $X^{\mu\nu}$ , i.e. a IIB compactification.
- This automorphism also swaps the  $\mathbf{6} \subset \mathbf{10}$  and  $\mathbf{6} \subset \overline{\mathbf{40}}$ .
- It can be shown that with this Ansatz, the  $\mathbf{6}$  are identical  $\Rightarrow$  if we don't want trombone gauging ( $\mathbf{10}$  of  $SL(5)$ ), we must not have  $\mathbf{6} \subset \overline{\mathbf{40}}$ .

# IIB $SO(p, q)$ gaugings

- Using this map and the IIA twists, we can find IIB compactifications to 7-d SUGRA corresponding to  $SO(p, q)$  gaugings.
- For the internal space we find a sphere or hyperboloid. In string frame,

$$ds_4^2 = (1 + u - v)^{-1} dx_\mu dx_\nu \left( \delta^{\mu\nu} + \frac{1}{1 - v} \eta^{\mu\rho} \eta^{\nu\sigma} x_\rho x_\sigma \right), \quad (32)$$

where  $x_\mu = \frac{1}{2} \epsilon_{\mu\nu\rho} X^{\nu\rho}$ ,  $u = x^\mu x_\mu$ ,  $v = x_\mu x_\nu \eta^{\mu\nu}$  and  $\eta^{\mu\nu}$  is the pull-back of the  $\overline{\mathbf{10}}$  gauging  $Z^{\bar{4}(\bar{\alpha}, \bar{\beta})}$  to the  $\mu, \nu = 1, \dots, 3$  directions.

- We also find a KR 2-form in the internal directions.
- The metric and KR 2-form coincides with that for the IIA compactification.

# Gauging the $\bar{4} \subset \bar{40}$

- It is also easy to find a compactification that additionally gauges the  $\bar{4} \subset \bar{40}$ .
- We need to generalise the Ansatz for the twist to

$$E_{\bar{a}}{}^b = \omega^{-1/2} \begin{pmatrix} \omega^{1/2} V_{\bar{\alpha}}{}^{\beta} & -A_{\bar{\alpha}} \omega^{-2} \\ 0 & \omega^{-2} \end{pmatrix}. \quad (33)$$

- We still keep  $\partial_{\alpha 4} = 0$  so that the reduction is from 10-d.
- The **10**'s and **6**'s are unchanged but we also find for the  $\bar{4} \subset \bar{40}$ :

$$Z^{\bar{4}\bar{\alpha},\bar{4}} = -V_{\alpha}{}^{\bar{\alpha}} \partial^{\alpha\beta} A_{\beta} + \frac{5}{2} V_{\alpha}{}^{\bar{\alpha}} A_{\beta} \partial^{\alpha\beta} \ln \omega, \quad (34)$$

where  $A_{\alpha} = V_{\alpha}{}^{\bar{\beta}} A_{\bar{\beta}}$ .

- For the case when  $Z^{\bar{4}(\bar{\alpha},\bar{\beta})} = \eta^{\bar{\alpha}\bar{\beta}}$  is invertible, we can solve this with

$$A_{\bar{\alpha}} = \eta_{\bar{\alpha}\bar{\beta}} d^{\bar{\beta}}, \quad (35)$$

for some constant  $d^{\bar{\alpha}}$  to find  $Z^{\bar{4}\bar{\alpha},\bar{4}} = d^{\bar{\alpha}}$ .

- Let us calculate the internal space from gen metric  $m_{ab}$ .
- We find metric and KR 2-form are unchanged.
- We find *additional* RR 2-form and 0-form:

$$C_0 = a, \quad \tilde{V}_\mu{}^4 = (1 + u - v)^{-3/8} \{ \eta_{\mu\nu} [(1 + u - v) d^\nu + x^\nu a] \}, \quad (36)$$

where  $a = -(d \cdot x) + (1 - v)^{3/4} \eta_{55} d^5$ .

- Different solutions to the gauging equation represent gauge transformations of the R-R sector:

$$-V_\alpha{}^{\bar{\alpha}} \partial^{\alpha\beta} \delta A_\beta + \frac{5}{2} V_\alpha{}^{\bar{\alpha}} \delta A_\beta \partial^{\alpha\beta} \ln \omega = 0. \quad (37)$$

- This is a new consistent compactification to  $d=7$ .
- It is a compactification of IIB on  $H^{p,q}$  with R-R fields turned on.

# Outline

- 1 References
- 2 11-d SUGRA, U-dualities and gauged SUGRAs
- 3 Exceptional field theory
- 4 IIB theory
- 5 A geometry: flux formulation
- 6  $SO(p, q)$  gaugings
- 7 Summary**
- 8 Further work

- Introduced EFT and showed it reduces to 11-d and IIB SUGRA.
- EFT is only consistent up to section condition.
- Constructed a geometry based on “flux formulation” for  $SL(5)$  EFT.
- Close connection to gauged SUGRA: torsion is embedding tensor.
- Discussed sphere and hyperboloid compactifications as twisted EFT reductions.
- Showed how to generate IIB compactifications from IIA compactifications.
- Discussed some new compactifications.

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- Extend the map from IIA and IIB to more general twist Ansatz.
- Find consistent compactifications of IIA or IIB that gauges the  $\mathfrak{6} \subset \overline{\mathfrak{40}}$ ?
- Find consistent compactifications that gauge the trombone?
- Find more consistent compactifications of IIA and IIB to obtain more of the spectrum of allowed gaugings?
- Extension to lower dimensions (higher exceptional groups).
- Less SUSY.
- Find a higher dimensional origin for orphaned gauged SUGRAs, e.g. using  $E_7$  for deformed  $SO(8)$  theories ([Dall'Agata et al '12](#)).
- Violate section condition ([Waldram et al '15](#)).

Thank you!

Thank you for listening!