

- Recap:
- Superstring massless sector & low-energy effective actions
    - IIA, IIB & Heterotic theories
    - common NS sector:  $g, B, \phi$
    - "RR" sector for each is different
      - IIA odd p-forms
      - IIB even p-forms
      - Heterotic gauge fields ( $E_8 \times E_8$  or  $so(32)$ )
  - Einstein vs string frame due to scalar coupling
    - violates equivalence principle
    - "moduli stabilisation"
  - Compactification:  $M^{26} = \mathbb{R}^{1,3} \times M^{int}$ 
    - $\underbrace{M^{int}}_{\text{compact e.g. } T^{22}}$
- $$\rightarrow G_N^{(4d)} = \frac{G_N^{(10d)}}{\text{Vol}(M^{int})}$$

## (ii) String background

String has tension  $\Rightarrow$  causes curvature of  $G$

String couples to  $B \Rightarrow$  charged under  $B$

$\updownarrow$   
sources B-field (c.f. electromag.)

Consider infinite static string stretched along  $X^1$  direction

$\Rightarrow G, B \& \phi$  are given by

$$1.2. \quad \frac{1}{\alpha'} \left( -dt^2 + dX^2 \right) + \sum_{i=2}^{25} dx_i^2$$

$$ds^2 = f(r)^{-1} (-dt^2 + dX_1^2) + \sum_{i=2}^{25} dx_i^2$$

$$B = (f(r)^{-1} - 1) dt_1 dX_1$$

$$e^{2\phi} = f(r)^{-1}$$

$$w) \quad f(r) = 1 + \frac{g_s^2 \overset{\text{constant number}}{N} \overset{\text{dimensional grounds}}{l_s^{2a}}}{r^{2a}}, \quad r = \sum_{i=2}^{25} x_i^2$$

$f(r)$  is harmonic in transverse space

$$\nabla_{\mathbb{R}^{24}}^2 f(r) = 0 \quad \text{except @ } r=0$$

let us now determine  $N$ . To do so, let's look at the  $B$  EOM. We want to solve for  $B$  w/ a source

$$S_{\text{tot}} = \underbrace{\frac{1}{g_s^2} \int_{\mathbb{R}^{26}} |H_{(3)}|^2}_{\text{LEEA}} + \underbrace{\int_{\mathbb{R}^2} B_{(2)}}_{\text{string action}}$$

$$= \frac{1}{g_s^2} \int_{\mathbb{R}^{24}} (H_{(3)} * H_{(3)} + g_s^2 B_{(2)} \delta(w))$$

$\delta$ -function w/ support on string worldsheet

$$\Rightarrow \text{EOM: } d * H_{(3)} \sim g_s^2 \delta(w)$$

Analogue of Gauss' law  $d * F \sim \rho$

Analogue of Gauss' law  $d\star F \sim g$

Integrate over transverse space & use Stoke's Thm:

$$\Rightarrow \frac{1}{g^2} \int_{S^{22}} \star H_{(3)} = 1$$

If we had  $N$  fundamental strings

$$\Rightarrow \frac{1}{g^2} \int_{S^{22}} \star H_{(3)} = N$$

$$\Rightarrow f(r) = 1 + \frac{g^2 N l_s^{22}}{r^{22}}$$

# of parallel fundamental strings!

Knowing that strings are fundamental microscopic objects we must have  $N \in \mathbb{Z}$ .

These arguments are very important for AdS/CFT correspondence (c.f. Forini's course next semester).

## IV - Open String Sector

We saw that requiring conformal invariance when coupling to background fields gave rise to a low-energy effective action for the closed string excitations

$$G, B, \phi.$$

What about the open string excitations  $A_\mu, \phi^I$ ?

(i) D-brane T-dual action

## (i) Born-Infeld action

Focus on  $A_a$  for now. let's see how its background field couples to the open string.

Vortex operator

$$V \sim \int_{\partial \Sigma} d\tau \zeta_a \partial^\tau X^a e^{ip \cdot X}$$

boundary of  
worldsheet



state-operator map  
for open string maps states  
to operators on boundary!

Now consider coherent state

$$Z \sim \int DX Dg e^{-S_{\text{poly}}} e^{-V}$$

$$S_{\text{poly}} \rightarrow S_A = S_{\text{poly}} + \int_{\partial \Sigma} d\tau A_a(X) \frac{dX^a}{d\tau}$$

string endpoints are charged  
under  $A_a$ !

We can now compute  $\beta$ -function & find the effective action reproducing the condition for  $\beta=0$ .

At 1-loop, this is given by the Born-Infeld action for  $D_p$ -brane



for Dp-brane

$$S = -T_p \int_{\Sigma_{p+1}} d^{p+1} \xi \sqrt{-\det(\eta_{ab} + 2\pi\alpha' F_{ab})}$$

$T_p$  → tension  
 $\xi^a$  →  $\xi^a$  are worldvolume coordinates of brane  
 $F_{ab} = \partial_a A_b - \partial_b A_a$

This is a highly non-linear theory! However, it's not as strange as it seems.

In the small field limit,  $F_{ab} \ll \frac{1}{\alpha'}$ , we can Taylor expand to get the Maxwell action

$$S = -T_p \int_{\Sigma_{p+1}} d^{p+1} \xi \left( 1 + \frac{2\pi\alpha'}{4} F_{ab} F^{ab} + \dots \right)$$

$\dots$  → suppressed by  $\alpha'$

As  $F_{ab} \alpha'$  grows, the corrections resum into Born-Infeld action.

NB: There are further corrections involving higher derivatives:

$$\alpha' \partial^2 F$$

coming from higher-loop  $\beta$ -functions which modify the Born-Infeld action.

(ii) Dirac-Born-Infeld action

### III) Dirac-Born-Infeld action

What about the scalar fields? These are fluctuations  $\phi^I$ . The low-energy dynamics can be similarly deduced from a  $\beta$ -function calculation.

But it can also be argued for using Lorentz & reparameterisation symmetry. It should be given by Dirac action:

$$S = -T_p \int d^{p+1} \xi \sqrt{-\det X^* \eta}$$



W)  $X^M(\xi^a)$  the fluctuations of the D-brane.

The dynamics of scalars & vectors combines into the Dirac-Born-Infeld action:

$$S_{DBI} = -T_p \int d^{p+1} \xi \sqrt{-\det(\gamma_{ab} + 2\pi\alpha' F_{ab})}$$

$$W) \quad \gamma_{ab} = (X^* \eta)_{ab} = \partial_a X^M \partial_b X^N \eta_{MN}$$

In this action we have  $D$  scalar fields  $X^M$  but we should only have  $D-p-1$  physical excitations corresponding to transverse fluctuations.

Reparameterisation invariance means  $p+1$  excitations are unphysical!

Can choose static gauge:  $X^a = \xi^a$ ,  $a=0, \dots, p$

$\Rightarrow$  Pull-back metric depends only on  $X^I$  (transverse):

$\Rightarrow$  Pull-back metric depends only on  $X^I$  (transverse):

$$\gamma_{ab} = \eta_{ab} + \frac{\partial X^I}{\partial \xi^a} \frac{\partial X^J}{\partial \xi^b} \delta_{IJ}$$

If we consider small field strengths & small gradients  $\partial_a X^I$ , we can expand DBI & focus on leading terms:

$$S = -(2\pi\alpha')^2 T_p \int d^{p+1} \xi \left( \frac{1}{4} F_{ab} F^{ab} + \frac{1}{2} \partial_a \Phi^I \partial^a \Phi^I + \dots \right)$$

w)  $X^I = 2\pi\alpha' \Phi^I$  & we dropped a cosmological constant.

... are higher order in  $\alpha'$ .

$\Rightarrow$  The low-energy effective action reduces to free Maxwell theory in  $p+1$  dimensions coupled to  $(D-p-1)$  free scalar fields.

### (iii) Closed String Backgrounds

Consider now a  $D_p$ -brane in a curved background

w)  $G, B, \phi$  from closed string sector turned on.

The low-energy effective dynamics is still governed by a DBI-like action. Much of it can be argued for from symmetries:

$$S = -T_p \int d^{p+1} \xi e^{-\phi} \sqrt{-\det(\gamma_{ab} + 2\pi\alpha' F_{ab} + B_{ab})}$$

where now

$$\delta_{ab} = (X^* G)_{ab} = \partial_a X^\mu \partial_b X^\nu G_{\mu\nu}.$$

This is the natural place for  $G_{\mu\nu}$  to appear  
→ via the pull-back.

The dilaton appears as  $e^{-\phi}$  because this effective action comes from tree-level of the open string

⇒ disc ⇒  $e^{-\phi\chi}$  w/  $\chi=1$  for disc!

The constant part  $e^{-\phi_0} = g_s^{-1}$  modifies the tension of the Dp-brane:

$$T_p \Rightarrow T_p^{\text{effective}} = T_p g_s^{-1}$$

We see that the tension of the Dp-brane scales like  $g_s^{-1}$

⇒ Dp-brane is a non-perturbative state:

it is ∞-ly heavy @ weak coupling  
& it becomes light @ strong coupling

Finally,

$$B_{ab} = (X^* B)_{ab} = \partial_a X^\mu \partial_b X^\nu B_{\mu\nu}$$

has to appear in the combination

$$F_{a1} = B_{ab} + 2\pi\alpha' F_{ab}$$

$$F_{ab} = B_{ab} + 2\pi\alpha' F_{ab}$$

since this is the only gauge-invariant combination  
c.f. Sheet 13, Qu. 3

NB: also the DBI action contains the combination

$$\partial_a X^\mu \partial_b X^\nu (G_{\mu\nu} + B_{\mu\nu})$$

which is to be expected since this is the natural combination from the vertex operators.

#### (iv) Non-Abelian action

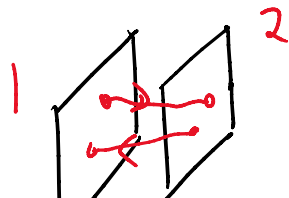
Everything so far was for single Dp-brane.  
If we have  $N$  Dp-branes we get  $N^2$  gauge fields  
 $(A_a)^m_n \leftarrow m, n = 1, \dots, N$

By e.g. computing the scattering of these fields, we can see that we get a  $U(N)$  gauge theory.

An alternative argument comes from realising that the

$(A_a)^m_n$  are charged under the various  $U(1)$  subgroups of the individual D-branes.

E.g. for  $N=2$





giving  $(A_a)_1^1$  &  $(A_a)_2^2$ , which are  
 charged under  $U(1) \times U(1)$   $((A_a)_1^1, (A_a)_2^2)$  of  
 the 1, 2 branes:  $(+1, -1)$  &  $(-1, +1)$   
 The only way to write down a consistent theory  
 of these charged massless fields is via  $U(2)$  Non-Abelian  
 gauge theory.

$\Rightarrow$   $N$  coincident D-branes  $\Rightarrow U(N)$  gauge theory  
 $\Rightarrow N^2$  gauge fields  
 $\Rightarrow N^2$  scalar fields which live in adjoint of  $U(N)$

It is unclear what the non-abelian generalisation of  
 DBI should look like (if it even exists).

E.g.

$$[D_a, D_b] F_{cd} = i [F_{ab}, F_{cd}]$$

for non-abelian gauge fields

$\Rightarrow$  cannot clearly distinguish between DF expansion &  
 const.  $F$  expansion.

Instead, restrict weak field limit  $F \ll \alpha'$  &  $DF \ll \alpha'$

$\Rightarrow$  low energy action is given by YM coupled to  
 scalars as:

$$S = - (2\pi\alpha')^2 T_p \int d^{p+1} \xi \text{Tr} \left( \frac{1}{4} F_{ab} F^{ab} + \frac{1}{2} D_a \phi^I D^a \phi^I - \frac{1}{4} \sum_{I \neq J} [\phi^I, \phi^J]^2 \right)$$

From this we can read off the YM coupling constant

$$\rightarrow \frac{1}{g_{YM}^2} \text{Tr} \frac{1}{4} F_{ab} F^{ab} \quad \text{in YM}$$

Using the precise relation between  $T_p$  &  $l_s$

(c.f. Polchinski) we can show

$$g_{YM}^2 \sim l_s^{p-3} g_s$$


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